

Design patterns in the tuning of collective transitions

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Across many scales in biology, from genes to neurons to insects to vertebrates, groups coordinate and solve problems collectively. The strategies that create successful coordination remain in many cases mysterious. There has been lots of speculation that functional collective behavior often makes use of transitions that separate distinct aggregate-scale behaviors. We highlight here recent results in the functional consequences and uses of collective transitions, finding that (1) biological function often relies on active movement of dynamical parameters with respect to transition manifolds, and (2) transitions vary in the abilities they confer and the specificity of tuning that they require. This suggests a view that types of collective transitions, combined with types of parameter regulation near these transitions, define recurring design patterns at a coarse-grained algorithmic level. Design patterns include stable coordination, signal amplification, adjustable sensitivity, bistable switches, collective decisions, and more general multi-stability. This framework represents a convergence of ideas from statistical physics (coarse-graining and phase transitions), nonlinear dynamics (bifurcations), and the biology of collective behavior. Examining examples from neuroscience, gene regulation, and animal behavior, we highlight how this perspective brings specificity to the functional consequences of transitions, simplifies the modeling

22 of collectives, and clarifies the minimal regulation needed for successful, functional coor-
23 dination in these systems.

24 **Introduction**

25 At many scales, groups of living things coordinate their actions in a way that provides benefits to the
26 whole. Individual ants gather information and jointly move to an optimal location when their old nest
27 is destroyed [1]; cells in an organism communicate and coordinate to create functional tissues and
28 bodies [2]; neurons jointly hold memories [3] and make decisions [4].

29 These are examples of information processing, information storage, and control that is distributed over
30 many components. What strategies do living systems use in order to create such functionality? Are
31 there general principles of collective cognition or collective intelligence [5–10]?

32 While there seem to be analogies across different systems — neurons behave in some ways similar to
33 ants — most often mathematical models are built and analyzed separately for each case. If we take
34 these analogies seriously, it may be possible to rigorously define more general classes of coordination
35 strategies that encompass diverse forms of collective behavior. Such an approach could give insight into
36 the relative difficulty of different strategies and the abilities they confer, which would be useful both in
37 understanding living systems and, more ambitiously, managing and regulating them ourselves.

38 Marr, in thinking about the implementation of functional behavior in computational neuroscience,
39 gave us a useful way to pull apart the question of collective behavior into three levels (Fig 1) [11]¹. We
40 can think about a problem that a group needs to solve at a functional level, we can think about the
41 space of designs that would solve that problem at the algorithmic level of information flows, and for
42 any given algorithm we can think about the space of mechanisms that can implement that algorithm.
43 Here we focus on the middle “algorithmic” level, aiming to find a classification of collective behavior
44 mechanisms that groups them in terms of the types of functionality that they can create.

¹Marr and Poggio’s original formulation included a fourth level of “hardware,” which we merge here into “mechanism”.

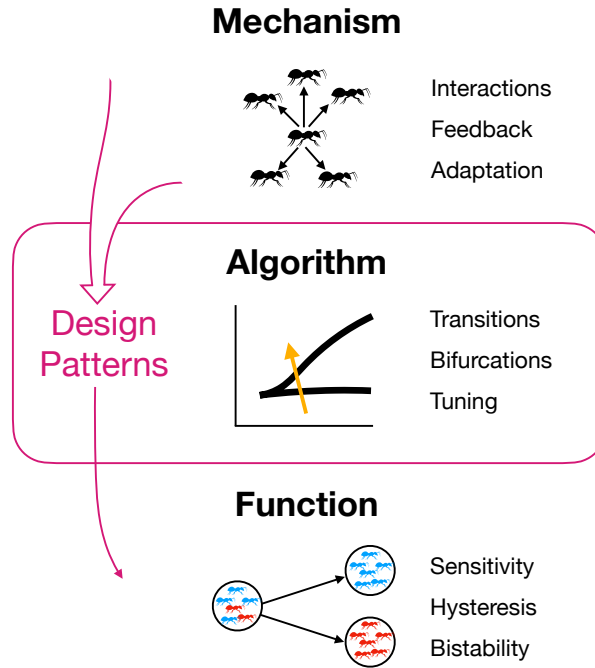


Figure 1: **Design patterns, defined at an algorithmic level, organize the space of possible mechanisms and predict their functional consequences.**

45 There is a long history in approaching emergent functionality of groups using the theory of collective
 46 transitions. Transitions can be carefully defined using the mathematics of nonlinear dynamics (bifur-
 47 cation theory) and statistical physics (phase transitions). A large and detailed literature asks whether
 48 living systems are often near transitions [12, 13] and describes how transitions can create different
 49 aggregate states and allow for controlled changes among these states [14]. In Marr’s conception, tran-
 50 sitions live at an algorithmic level of analysis, providing a useful coarse-graining over the space of
 51 mechanisms.

52 The perspective of collective transitions is powerful in that positioning system behaviors in parameter
 53 space relative to transition manifolds gives an opportunity for immense dimensionality reduction and
 54 simplification.² This simplification is related to, but not limited to, universality near critical phase tran-
 55 sitions in the narrower sense explored in statistical physics [18]. The basic idea is that, after mapping
 56 from mechanisms to transitions (top pink arrow in Fig 1), only movement relative to low-dimensional

²This is closely related to the ambitions of catastrophe theory [15], which largely fell out of favor many decades ago after being over-generalized [16, 17]. We feel that similar ideas are worth revisiting in an era of big data, when we can more carefully test the limits of generalization.

57 transition manifolds are ultimately relevant, such that large classes of mechanistic structures become
58 equivalent at the algorithmic level.

59 Historically, theories of how collective transitions can be functionally useful focused mostly on contin-
60 uous phase transitions, near which (1) aggregate-scale behavior becomes highly sensitive to individual-
61 scale changes and (2) there exist many easily accessible aggregate states [12]. Increasingly, applications
62 of transition concepts to biology are extending from this basic picture to discover the importance of
63 other related phenomena: discontinuous transitions, dynamical effects such as hysteresis, memory, and
64 timescales, and functionality that depends on moving parameters with respect to a transition instead
65 of remaining fixed.

66 How might we delineate the space of possible uses of collective transitions and how they can be realized
67 in living systems? Here we advance a perspective that begins to define this space. Specifically, we use
68 this framing to identify a number of algorithmic design patterns, which we examine using examples
69 from the recent literature.

70 The basic picture is as follows: at the mechanistic level, positive feedback³ in collective systems pro-
71 duces amplification and the possibility of transitions to various ordered states. At an algorithmic level,
72 design patterns in collective transitions are characterized by (1) the singularity that defines a transition
73 (as in statistical physics and nonlinear dynamics) and (2) how parameters are tuned near the transi-
74 tion, across both short behavioral timescales and long evolutionary timescales. At the functional level,
75 consequences result from occupying or moving through different regions of the corresponding phase
76 diagram: sensitivity, multi-stability, timescales of information transfer and storage, individuality versus
77 consensus, controllability, robustness, and specialization.

³Here, we define positive feedback effectively, noting that self-reinforcing processes do not need to be strictly excitatory, but can also occur through alternative pathways such as double-negative feedback [19].

Box: Important concepts for understanding how collective transitions are used in living systems

1. Biological function is often deeply influenced by *dynamical effects related to transitions*, including sensitivity, hysteresis, the timescales of transients, and symmetry breaking.
2. *Tuning with respect to transition points* is adaptively useful, with tuning dynamics over long evolutionary timescales and at short timescales of learning and active regulation.
3. The difficulty of tuning is naturally described in terms of the *codimension of transitions*.
4. Motion with respect to transition boundaries can often involve *trade-offs*, such as between speed and accuracy and between robustness and adaptability.

We believe that the collective transition perspective allows for more precise and explicit hypotheses about the algorithmic functions being implemented in living systems. While we have books full of design patterns connecting structure to function in, for instance, electrical engineering [20], this has proven more challenging in evolved systems. Some progress has been made by examining the functionality of network motifs, which connect small-scale structure to function [21]. We believe that similar patterns exist in collective behavior that require further coarse-graining across scales. We thus envision a parsimonious organization of the space of collective transitions, their difficulty of being tuned, and their functional benefits, usefully generalizing across the otherwise obfuscating details that muddle intuitive understanding of these complex systems.

The remainder of this review is organized as follows. We first introduce a case study from social insect foraging to illustrate how a specific biological mechanism can be mapped onto algorithmic design patterns with functional consequences. We next survey a range of collective transition design patterns across biology, emphasizing how different transition geometries and modes of tuning are associated with distinct functional uses. Finally, we consider challenges and open questions for this framework, including the extent to which low-dimensional transition descriptions generalize across systems and how such hypotheses can be tested empirically.

95 **Case study: Social insect foraging**

96 Social insect foraging provides an intuitive example of how interactions among individuals can generate
97 collective transitions and how the tuning of those transitions can create ecologically useful dynamics. In
98 the ant *Pogonomyrmex barbatus*, a known mechanism leads to positive feedback in foraging activity, and
99 the colony must balance the benefits of exploiting food resources against the costs of sending workers
100 into a dangerous environment. This makes the system useful for illustrating how a mechanistic rule
101 can be mapped onto an algorithmic design pattern and then onto biological function.

102 **Mechanism**

103 The red harvester ant *Pogonomyrmex barbatus* is a seed-harvesting desert ant of the southwestern
104 United States. Colonies forage for spatially scattered seeds that can be retrieved by individual workers,
105 so unlike trail-recruiting ants, they do not need to direct nestmates to a particular food location. What
106 matters is not where food is, but the rate at which successful foragers return to the nest [23–26].

107 The decision rule operates in the entrance chamber (Fig 2A). Returning foragers pass through this
108 chamber and briefly interact with ants waiting to go out. These antennal and chemical interactions
109 make waiting ants more likely to leave the nest. The probability of departure can be represented by
110 an approximately sigmoidal input–output rule [22, 27–31] (Fig 2B). At the colony level, this creates
111 a positive feedback loop: more successful returns stimulate more departures, which can ultimately
112 generate still more returns.

113 This amplifying architecture differs sharply from systems in which regulation is based primarily on
114 implicit negative feedback. Positive-feedback systems are often “default off”: activity does not begin
115 unless reinforcing events occur. By contrast, negative-feedback systems are “default on”: activity con-
116 tinues unless something interrupts or suppresses it [24–26]. Harvester ant foraging fits the first picture.
117 The colony does not fully activate unless returning foragers provide sufficient evidence that conditions
118 are worth the cost. At the same time, the system is not purely runaway amplification: slower processes
119 can damp the response. If return flow remains low for several minutes, ants waiting near the entrance

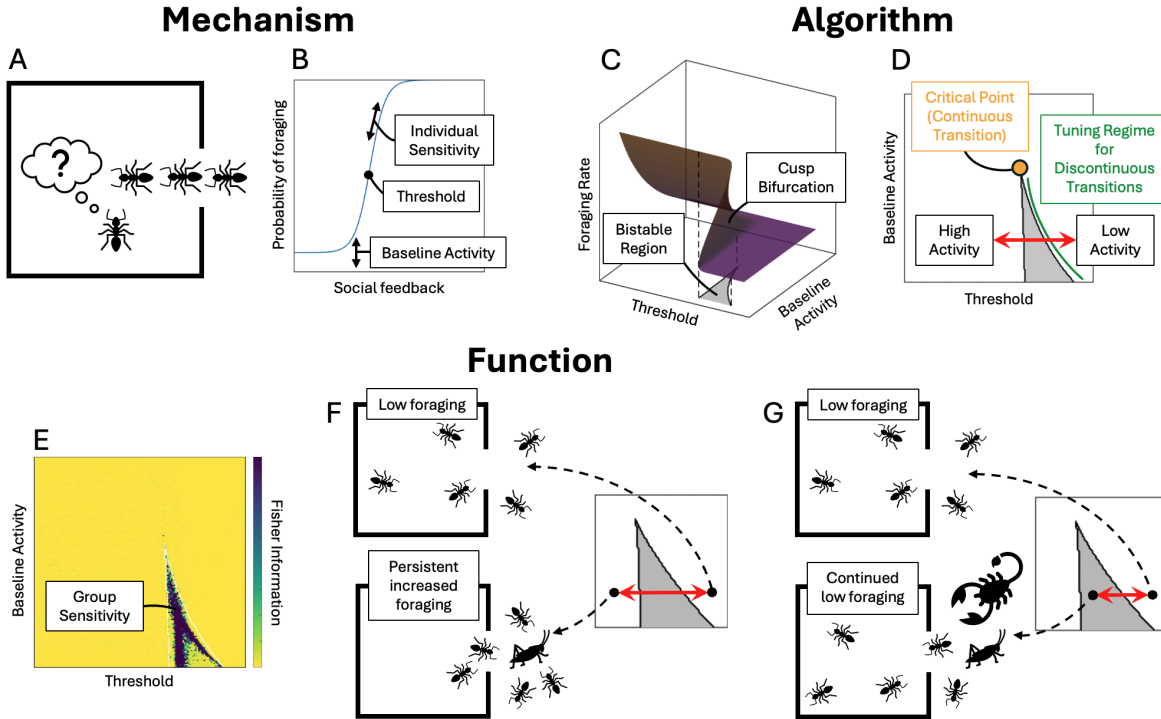


Figure 2: A case study: social insect foraging with tunable feedback. **Mechanism** (A) Returning foragers provide brief social contacts to ants waiting near the entrance, and these interactions inform a focal ant’s decision to forage. (B) A decision curve links social feedback (interaction rate from returning foragers) to the probability that an available forager exits the nest. Parameters control the baseline foraging activity of individuals, the threshold to social spreading, and the sensitivity of individuals to social feedback. **Algorithm** (C) A cusp bifurcation characterizes changes to the fixed points of foraging dynamics as two control parameters are varied. (D) A bistable region (gray) separates two monostable regions in which collective foraging rates are always high or low. Saddle-node bifurcations occur at the edge of the bistable region (black curves), and the cusp or critical point happens where the two saddle-node boundaries meet (orange dot). A hypothesized tuning regime (green) lies on the low-activity side of the bistable boundary, where environmentally driven parameter shifts can trigger discontinuous transitions into the high foraging state. **Function** (E) Sensitivity, measured by the Fisher information, is largest near the edges of the bistable region, where small parameter changes can induce large changes in the collective output [22]. (F and G) Strong bistability can create all-or-nothing responses that depend on the availability of resources and whether a predator is present. In the no-predator case (F), small baseline foraging transitions into coordinated and persistent recruitment to a food item (e.g., a cricket) and then returns to low activity after the resource is exhausted. In the predator case (G), the same resource is paired with a predator (e.g., a scorpion), eliciting retreat and limited recruitment. The parameter trajectory terminates within the bistable interior, failing to reach the high-activity branch.

120 move deeper into the nest, reducing the pool of workers available for rapid activation [31,32]. Thus the
121 core leaving rule is positively reinforcing, but it is embedded in a broader regulatory mechanism that
122 can also withdraw availability.

123 **Algorithm**

124 To analyze the foraging dynamics at the algorithmic level, we coarse-grain the activity into a single
125 colony-level rate of foraging. In a mean-field analysis, the surface of possible fixed points as a function
126 of parameters can fold over itself, creating transitions in the form of dynamical bifurcations. In the case
127 considered here, the relevant geometry is a cusp [22]: two saddle-node bifurcations bound a bistable
128 region and meet at a cusp bifurcation (Fig 2C). The cusp is the critical point of the corresponding phase
129 diagram,⁴ and the edges of the bistable region act as phase boundaries separating low-activity and high-
130 activity monostable regimes from the region in which both attractors coexist. Within this picture, the
131 same colony can display qualitatively different transitions depending on how parameters are varied.
132 Some trajectories through parameter space produce gradual, continuous changes in activity, whereas
133 others cross a fold and generate abrupt, discontinuous switching with hysteresis.

134 This algorithmic description is useful because it abstracts away from mechanistic particulars. The
135 same bifurcation structure occurs across many potential mechanisms. This hints at a limited form of
136 universality: the functionality may be fully characterized by the bifurcation geometry without referring
137 to details of any one ant model. Across broader families of positive-feedback and threshold-like models,
138 modifying the form of memory, heterogeneity, or response functions can produce different bifurcation
139 structures, including epidemic-threshold-like onset, critical-mass dynamics, and cusp-like bistability
140 [33, 34].

141 At this coarse-grained, lower dimensional level, questions about tuning also become simpler to rea-
142 son about. Tuning toward a particular transition point involves a predictable number of dimensions

⁴More precisely: In mean-field, the critical point as encountered in the all-to-all coupled Ising model maps onto a cusp bifurcation [22]. The saddle-node bifurcations correspond to the discontinuous transition that occurs at lower temperatures in the Ising model. The description in terms of bifurcation theory has the advantage that it does not require taking the thermodynamic limit in which the number of individuals is taken to infinity.

143 in parameter space depending on the transition type. If selection favors a discontinuous, “default-off”
 144 switch, then colonies need not sit exactly at a single critical point. A possibility posed here is that
 145 a single baseline parameter is tuned to the low-activity side of a phase boundary, close enough that
 146 environmentally induced shifts can push the colony across it when appropriate [25, 26, 35, 36]. This
 147 parameter can then be dynamically altered across the bistable region (red arrows in Fig 2D). This is a
 148 useful generalization of the idea of critical tuning. Instead of requiring the colony to remain fixed at one
 149 special point, it requires the colony to occupy a region of parameter space from which functionally dis-
 150 tinct collective outcomes can be reached quickly. In this way, the algorithm provides the map between
 151 mechanism and function. The form of interactions creates a low-dimensional bifurcation structure, and
 152 position within that structure determines what kinds of collective functionalities are available.

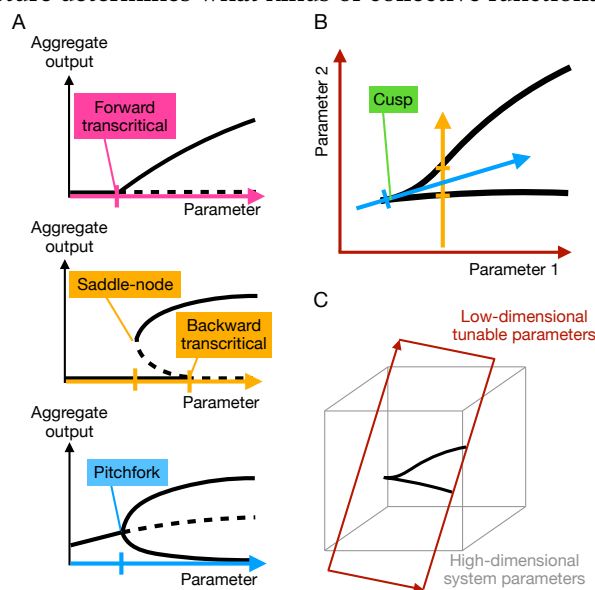


Figure 3: **Tuning across transitions in increasing numbers of dimensions.** Bifurcations structure parameter space, with the codimension setting the number of parameters that must be tuned to locate a particular transition. (A) Bifurcations of codimension 1 require the tuning of a single parameter. Black curves represent fixed points of the aggregate-scale dynamics, with solid curves stable and dashed curves unstable. (B) In a two-dimensional space, bifurcations of codimension 1 lie along curves (black curve) and those of codimension 2, like the cusp, occur at points. (C) Tunable parameters make a low-dimensional slice through a potentially high-dimensional set of system properties.

153 We refer to the number of system parameters needed to be tuned in order to poise a system at a given
 154 transition as the “codimension” of the transition (see Fig 3).⁵ In the ant foraging example, the codi-

⁵Note that multiple notions of codimension are used in the literature when describing bifurcations. Here we are interested in the number of parameter dimensions that must be constrained to remain on the relevant singular manifold on which

155 mension of the discontinuous transitions at the edge of the bistable region is 1: while parameters can
156 drift in some directions without qualitative changes to the collective behavior, moving along the di-
157 mension perpendicular to the transition manifold leads to large-scale changes. We describe parameter
158 tuning using the concept of codimension because it generalizes well. First, we can easily describe tran-
159 sitions that require more tuning—for instance, the continuous transition in this model (the yellow cusp
160 point in Fig 2D) has codimension 2. Second, in most cases we can imagine many more parameters that
161 could affect collective behavior than the two that we highlight in this simple example. Through such
162 a higher-dimensional parameter space, a smaller set of tunable parameters makes a low-dimensional
163 slice (Fig 3C). The codimension, equal to the total number of parameters minus the dimensionality of
164 the manifold on which a given transition happens, represents the number of parameters we expect to
165 need to tune for such a slice to intersect a given transition manifold.

166 **Function**

167 At the functional level, the existence of a collective transition creates a system that is highly sensitive
168 when sensitivity is useful, yet still capable of withholding commitment. Sensitivity is greatest near
169 the phase boundaries, where Fisher information is maximized [37] (Fig 2E). This means that small
170 changes in control parameters (for example, in how strongly returning ants stimulate nestmates, or in
171 the effective threshold needed to trigger departure) can lead to large changes in the colony’s aggregate
172 foraging rate. Near such boundaries, the colony is poised so that small differences in local evidence can
173 be amplified into large differences in collective outcome. While it is not known exactly where in such a
174 phase diagram real harvester ants sit, we can speculate about the functional consequences for colonies
175 that regulate collective behavior by passing through such transitions.

176 Harvester ants forage in arid environments, where workers pay a substantial cost simply by being
177 outside the nest. Colonies effectively spend water to obtain food and water in these hot, dry conditions
178 [24–27, 38]. Unnecessary activity is costly: workers outside the nest risk desiccation risk and predators,
179 so the colony should not fully mobilize unless conditions justify it [25, 26, 35, 38].

a particular bifurcation occurs.

180 A colony can shift from a low-activity monostable regime into a high-activity monostable regime by
181 tuning individual thresholds such that fewer incoming ants are needed to trigger other ants to leave,
182 crossing the bistable region (Fig 2F). Response-threshold theory offers a useful language for interpreting
183 how such effective thresholds might change. In those models, experience or task performance can alter
184 response thresholds over time, through processes such as reinforcement [39, 40].

185 At the same time, the signal can be damped (Fig 2G). Unfavorable dry air, a decline in food return, or
186 exposure to a danger can reduce return flow or reduce responsiveness after ants experience the outside
187 environment, thereby shrinking the excursion through parameter space and preventing the colony from
188 fully committing to the high-foraging branch [28, 31, 32, 38, 41]. In that context, the value of the switch
189 is not merely that it can turn on rapidly, but that it can also fail to engage or can be interrupted before
190 the colony overcommits to a dangerous situation.

191 **Synthesis: Design patterns**

192 Taken together, this case study demonstrates two potential design patterns that make use of a collective
193 transition. The starting point is a positively reinforcing mechanism that can amplify small differences
194 in return flow into much larger differences in colony-level activity.

195 The tuning of this amplification may itself be functionally useful. This is what we call the “adjustable
196 sensitivity” design pattern. Functionally, adjustable sensitivity allows a collective to modulate how
197 strongly local information is amplified into a group-level response. This can be beneficial when the
198 relevance of social information varies across environments: greater sensitivity can promote rapid re-
199 sponses to weak but important cues, whereas lower sensitivity can reduce costly responses to noise or
200 false alarms. Adjustable sensitivity therefore provides a way to balance the responsiveness/robustness
201 tradeoff.

202 If amplification is large enough, this will produce bistability, with a discontinuous transition between
203 alternative activity regimes. Discontinuous transitions of this kind can be ecologically advantageous
204 because they implement a fast, state-like shift between low- and high-activity regimes without relying

205 on a centralized controller (Fig 2F). We call this the “bistable switch” design pattern. This can allow
206 for exploitation of resources that may be transient due to competition or environmental loss. As the
207 resource is depleted, return flow declines, interaction rates drop, and the control parameter relaxes
208 back toward baseline, returning the colony to the low-activity state. Because bistable switches create
209 hysteresis, recruitment can briefly persist even after returns begin to wane, appearing as an overshoot in
210 outgoing effort. Such persistence may itself be adaptive if it reduces the risk of abandoning a profitable
211 patch before it is fully harvested. The same mechanism can confer robustness to danger. If foragers
212 encountering predators retreat or fail to return, the social cue is damped, the control parameter shifts
213 less, and the system may remain on the low-activity branch, thereby avoiding a costly global activation
214 in hazardous conditions.

215 In this example, tuning near and above a cusp bifurcation creates access to tunable sensitivity and
216 bistability, but the broader lesson is more general. The functionality does not depend on the mechanistic
217 details by which the bifurcation is implemented. Rather, it depends on the existence of a collective
218 transition with the right dynamical geometry. It is in this sense that we refer to design patterns. Framed
219 this way, the present example motivates a broader search for other design patterns generated by tuning
220 parameters near collective transitions, which we explore in the next section.

221 Importantly, even when the underlying control-parameter space is high-dimensional, effective tun-
222 ing may occur along low-dimensional subspaces. In our schematic figure (Fig 3), we illustrate how a
223 two-parameter bifurcation structure can be viewed as a slice through a larger-dimensional space of
224 mechanistic parameters: a small set of tunable, coarse-grained “effective” parameters defines a low-
225 dimensional space in which the global dynamics can be controlled. Within this reduced space, different
226 trajectories can traverse the same bifurcation structure in qualitatively different ways, producing either
227 discontinuous switching (for example, by crossing a saddle-node boundary into a distant attractor) or
228 continuous onset (for example, by moving through a forward transcritical transition), depending on
229 how the effective parameters are regulated. While the aggregate-level bifurcation geometry can of-
230 ten be inferred from such low-dimensional descriptions, the individual-scale dynamical mechanisms
231 that generate particular transition types (continuous versus discontinuous) and particular mean-field
232 bifurcations (transcritical versus cusp) are only worked out in some classes of models, such as social

233 spreading-type models [33, 34, 42], and remain an active area of research.

234 **Collective transition design patterns across biology**

235 Our working hypothesis is that many living systems regulate their positioning relative to collective
236 transitions, and that the functional implications of this regulation are best understood at the level of
237 algorithmic design patterns. In this section, we highlight examples of such tuning, organized roughly
238 in order of increasing complexity of active regulation (Fig 4). For each design pattern—consisting of a
239 collective transition combined with tuning relative to the transition—we highlight multiple examples
240 across living systems, emphasizing the functional uses of the collective dynamics. The examples we
241 include vary in closeness to experimental data, but all are connected to experiments in some way—we
242 want to emphasize that aspects of collective transitions are being measured in real systems, not just
243 toy models.

244 In the simplest type of tuning (Fig 4A and B), the parameters governing collective behavior are rela-
245 tively fixed, with values set over a slower evolutionary timescale, and potentially kept fixed using active
246 processes. In other cases, active tuning changes parameters based on environmental inputs. Changes
247 to the strength of interaction or coordination among components can actively up- or down-regulate
248 social information channels (Fig 4C), or tuning of a bias toward one or another aggregate state can
249 lead to discontinuous switching between coordinated states (Fig 4D). In more complicated examples of
250 regulation, collective behavior can vary both in the degree of coordination and in the specific aggregate
251 states displayed by the group. We may interpret these cases as collective decisions, in which environ-
252 mental inputs are integrated into a choice among two or more ordered states (Fig 4E), or as merely
253 creating large numbers of possibly useful ordered states (Fig 4F).

254 These design patterns serve as distinct hypotheses for how tuning relative to transitions may be used
255 functionally in collectives. We note, however, that we are not trying to classify biological systems
256 into rigid categories. As we learn more, we may find that some living systems are implementing more
257 complicated computations than we thought, or may even be simultaneously implementing multiple

Stable coordination



Functional uses: • Information transfer
• Synchronized behavior

Algorithm: • Fixed, strong interactions
• Codimension 0

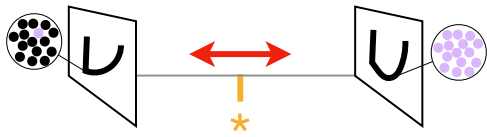
Signal amplification



Functional uses: • Amplify sensory information

Algorithm: • Tune to remain near a transition
• Codimension set by transition

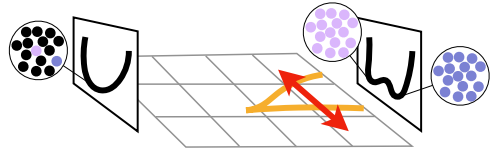
Adjustable sensitivity



Functional uses: • Adjust aggregate response to environmental signals
• Analogous to transistor

Algorithm: • Forward transcritical (continuous) transition
• Adjust interaction strength (codim. 1)

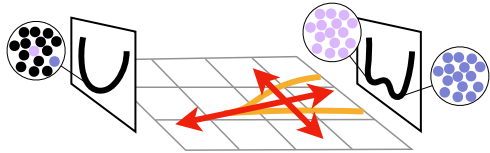
Bistable switch



Functional uses: • All-or-none response
• Hysteresis

Algorithm: • Bistable region enclosed by codimension 1 transitions
• Adjust bias (codimension 1)

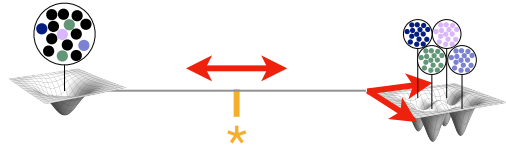
Collective decision



Functional uses: • Integrate information to choose coherent response

Algorithm: • Bistable region enclosed by codimension 1 transitions
• Adjust bias & interactions (codim. 2)

Multi-stability



Functional uses: • Decide among many choices
• Differentiation & specialization
• Reservoir of many available states

Algorithm: • Multiple bifurcations or bifurcations of higher codimension or symmetry

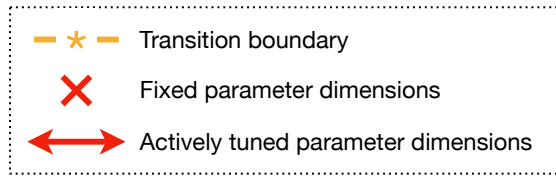


Figure 4: **Design patterns in collective transitions.** Different types of transitions plus active adjustment of parameters produce design patterns with different functional abilities.

258 types of computations [43]. Note, too, that this is not a comprehensive listing of all possible useful
259 design patterns — indeed, this is probably impossible. Yet efforts are underway to categorize at least
260 all possible collective transitions under some constraints: Siggia and coworkers have made progress in
261 this endeavor by enumerating all local and global bifurcations among three fixed points [44].

262 **“Stable coordination” design pattern**

263 While our analysis of collective algorithms focuses mostly on cases in which parameters are actively
264 varied, we begin with cases of collective function via fixed positioning relative to a transition. The
265 simplest cases create some fixed stable coordinated aggregate state.

266 We see stable organization in many examples in biology. Some species of firefly strongly synchronize
267 their flashes [45], and in large groups, locusts move together in strongly ordered waves [46]. Though
268 there are certainly effects on the fitness of organisms in these groups, the details are still debated. The
269 function of coordination is more clear in cardiac cells, which synchronize their activity to create a
270 stable productive heartbeat: the lack of strong coupling creates fatal arrhythmia [47]. In these cases,
271 to retain the ordered collective behavior does not require precise tuning, merely that interactions are
272 strong enough to maintain stabilizing feedback.

273 Another strategy for collective coordination that does not require precise tuning of interactions arises
274 in strongly ordered group dynamics that break a continuous symmetry.⁶ Examples include the propaga-
275 tion of orientational waves in bird flocks [48] and continuous ring attractors in neuronal dynamics [49].
276 In these examples, components are stably coordinated, and there is some information being stored or
277 transmitted in continuous symmetries. This functionality requires that interactions are sufficiently
278 strong so that the system is deeply in the ordered phase with respect to a collective ordering transition,
279 and it also requires that the relevant continuous symmetry is respected in the absence of other inputs
280 (that is, there should be no bias toward any specific ordered state). Functionally, this can be useful in
281 that the aggregate state serves as an easily modified collective memory of a continuous variable, such
282 as a direction in space. For instance, birds in a flock may benefit from a coordinated aggregate direction

⁶This is known as a Goldstone mode in statistical physics.

283 that stays fixed in the absence of input but can also quickly change in the presence of a predator that
284 is observed by a subset of birds. Similarly, ring attractors are used in brains as a stable memory of
285 orientation that can be easily updated during turns.

286 Because stable coordination does not require tuning to a specific transition point, we say that this design
287 pattern has codimension 0.⁷

288 **“Signal amplification” design pattern**

289 An oft-cited advantage of being near a continuous symmetry-breaking transition is that the aggregate
290 state becomes highly sensitive to small inputs [13]. This means that the aggregate state can effectively
291 become an amplified representation of an environmental input at a much smaller scale. For instance,
292 cochlear hair cells are optimally sensitive to weak sounds by actively being tuned to sit near a Hopf
293 bifurcation to ordered oscillatory behavior [12]. Similarly, evidence has been found that the sensory
294 organ in snakes for detecting temperature effectively amplifies differences in temperature by remaining
295 near a saddle-node bifurcation [50]. More speculatively, theories suggest that feedback among cells in
296 a tissue can lead to optimal sensing of slow changes to chemical concentrations when it is tuned near
297 a pitchfork bifurcation [51]. In cases like these, maintaining maximum sensitivity may require tuning
298 to remain near the transition, but the functionality does not require actively changing parameters.

299 The number of parameters needing to be tuned in order to remain at the transition is equal to the
300 codimension of the corresponding transition. For example, the Hopf bifurcation used by the cochlea
301 has codimension 1, requiring the tuning of parameters along a single dimension, which is thought to
302 happen through the active regulation of calcium ion concentrations within hair cells [12].

⁷This is a slight abuse of our definition of codimension above in the sense that we are not near a transition in this case. Instead, we are using a more generic notion of codimension that specifies the number of parameter dimensions needed to be finely tuned in order to retain a relevant biological function.

303 “Adjustable sensitivity” design pattern

304 Contrasting with the conventional story about the optimality of criticality, recent literature has repeat-
305 edly found that living systems vary, in many cases over a relatively short timescale, in their locations
306 with respect to collective transitions. We therefore include the variation of parameters in our catego-
307 rization of collective transition design patterns.

308 A relatively simple way for collective behavior to adapt to changing conditions is by regulating the
309 strength of social channels of information flow. This varying of a single parameter regulates the
310 distance from a transition at which behavioral cascades change from staying localized to spreading
311 through most of the group.

312 We highlight here four examples in which the effective strength of interactions varies over time (or
313 is hypothesized to vary over time), moving the system with respect to a transition boundary. This
314 variation changes the responsiveness of an aggregate state to individual-scale perturbations. In a sense,
315 such a design pattern acts like an “informational transistor”: varying one parameter alters how strongly
316 information in another variable is amplified.

317 This design pattern may involve one of many transition types, with the simplest involving a percolation
318 transition, which as been well studied in epidemiology. The simplest such transition maps onto a tran-
319 scritical bifurcation [52,53], with codimension 1, varying a gain parameter to adjust the sensitivity.

320 **Alarm spread and schooling in fish:** The spreading of alarm signals in animal groups is likely op-
321 timized by balancing the costs of false positives against false negatives [54], altering the degree to
322 which individuals’ alarmed behavior is amplified to a colony-level response. In fish schools, the spread-
323 ing of startle behavior is thought to aid fish in being alerted to predators in the environment. Under
324 higher predation risk, golden shiner fish are known to swim closer together and increase the collec-
325 tive sensitivity to startling [55]. The fish thereby vary their distance from a transition to large startle
326 avalanches [54]. A similar story comes from wild coral reef fish, where the best fit of startling cascades
327 is consistent with fish modifying their response thresholds dynamically based on recent input rates.

328 This can be interpreted as the dynamic control of gain that may be able to suppress the spread of mis-
329 informative false alarms [56]. In each case, the largest sensitivity happens near the transition at which
330 the quiescent state becomes unstable, and the variation of a single parameter sets the average cascade
331 size.

332 In the schooling of fish, collective states include disordered swarming and ordered states such as school-
333 ing and milling [57]. There is evidence in one species of fish that schools vary in their proximity to an
334 optimally sensitive point near a collective transition, becoming more sensitive when in small groups
335 and when there is an external stressor [58]. Functionally, we can speculate that social information
336 about predation risk becomes more valued to individuals in more uncertain environments. This then
337 has collective effects in that cascades of socially initiated behavior become larger in riskier environ-
338 ments. The functional effects of this adjustable sensitivity of collective behavior are complicated in that
339 there can be a tension between individual and group fitness [59].

340 **Macaque conflict:** In societies of macaque monkeys, the spreading of conflict behavior has functional
341 implications in that large fights are disproportionately costly to individuals, and fight outbreaks are
342 regulated by specialized “policer” roles [60]. In a branching process model inferred from data tracking
343 a colony of 48 individuals, the colony was below a collective transition to much larger fights [61]. The
344 distance from that transition can be characterized in terms of the number of individuals who would need
345 to be simultaneously aggressive to bring the group to a collective instability: 3 to 5 individuals [61].
346 Some individuals have an outsized ability to change the group’s distance from the transition, which
347 they may use to regulate the tradeoff between adaptability and robustness of social hierarchies [61]. In
348 this example again, varying one collective parameter can tune the average size of fight cascades.

349 **Neuronal systems:** In systems of neurons, recent work has focused on the fact that brains are not
350 typically “at” a critical transition, but adjust to be closer or further from criticality [62, 63] based on
351 attentional or vigilance states [64–66] and the sleep-wake cycle [67, 68]. In this sense, we can view
352 the broadest scale dynamics of the brain as varying a single parameter that changes the propensity
353 of information to flow. In neuroscience, it is commonly assumed that active homeostatic processes
354 control the balance of excitation and inhibition to keep the system away from quiescent or synchronized

355 activity states [62,69]. It remains unknown how much active regulation is required. It is known that
356 the distance from a transition can be modified by, for instance, the addition of drugs [70]. We return to
357 neuronal examples below, showing how the tuning of additional parameters near transitions relates to
358 other functional abilities.

359 **Harvester ant foraging:** In the case study above, harvester ant foraging dynamics depend on the
360 strength of feedback. There is evidence that this feedback strength varies as a function of the dryness
361 of the environment [27, 30, 71], modifying how sensitive the colony is to an increase in available food
362 sources. It is unclear whether feedback is strong enough to create a transition that includes bistability,
363 as explored in the next design pattern.

364 “Bistable switch” design pattern

365 When collective dynamics produce two distinct ordered states, dynamics can be mapped onto cusp
366 or cusp-like transitions, which result in the creation of bistable regimes that lie between codimension
367 1 transitions such as saddle-node or transcritical bifurcations. Varying degrees of gain (interaction
368 strength) and of bias toward the two collective states create both order-disorder transitions (controlled
369 by the strength of information flow between individual components) and order-order transitions (con-
370 trolled by bias toward a particular ordered state). We start with examples of a bistable switch, in which
371 strong fixed interactions put the system into a bistable regime and the parameter controlling bias into
372 one of the two ordered states is varied.

373 All-or-nothing responses can be thought of as order-order binary switches in which the two stable
374 states differ primarily in activity level: low engagement versus a high-engagement, mobilized state.
375 The key functional signature is that intermediate engagement is not merely suboptimal, but actively
376 disadvantageous. For example, in **cooperative transport in social insects**, a sufficient number of
377 workers must simultaneously commit in order to move an object coherently. Intermediate organiza-
378 tion can be actively wasteful: partially aligned teams deadlock or stall, burning time and effort while
379 leaving the item exposed to competitors and predators [72,73]. Accordingly, selection can favor mecha-
380 nisms that drive rapid convergence to a coherent transport regime (and maintain consensus even while

381 navigating complex terrain), rather than continuously tracking stimulus strength through prolonged,
382 weakly coordinated pulling [74,75]. Bivouac formation likewise becomes protective and functional only
383 after sufficient structure is assembled; a partially formed bivouac would immobilize workers while still
384 leaving queen and brood exposed [76]. In these cases, the adaptive value is not simply a steep response,
385 but the avoidance of “half measures” that incur costs without delivering returns.

386 Comparable all-or-nothing dynamics occur in **defensive systems across eusocial taxa**, where inter-
387 mediate responses can be especially costly. In honey bees, alarm pheromone released by guards recruits
388 nestmates to sting vertebrate predators; deterrence rises steeply with the number of stings, so a small re-
389 sponse can both fail to repel the threat and incur high mortality, whereas a large, coordinated attack can
390 quickly drive predators away [77]. Vespine wasps similarly exhibit recruitment cascades in which many
391 workers attack a marked target or almost none respond [78]. In termites, vibrational alarm signals can
392 trigger colony-wide freezing or retreat; rapid, near-binary coordination can evacuate exposed tunnels,
393 whereas a weak or localized response would leave workers and brood vulnerable while still diverting
394 effort from foraging [79]. Though these examples of bistability have not yet been approached using the
395 mathematics of collective transitions, we suspect that they arise from similar dynamics that could be
396 usefully described in a bifurcation framework. In particular, the existence of all-or-nothing bistability
397 in behavioral cascades can often be traced to backward transcritical bifurcations (Fig 3A) [80, 81].

398 As highlighted in our case study above, **social insect foraging** often involves feedback that can lead
399 to a transition to bistability [22]. Stable pheromone trails in Pharaoh’s ants require a minimum number
400 of ants to persist, displaying a sudden transition from disordered to ordered foraging [82–84], creating
401 bistability and hysteresis. And across many social insect foraging models, amplification of foraging
402 activity can create a similar discontinuous transition between low and high rates of foraging [22, 80, 81,
403 85].

404 **Neural dynamics:** While neural transitions may also implement collective decisions that integrate
405 specific information (next section), there may be other functional advantages for all-or-nothing switches.
406 Some initial evidence of this comes from studies of overall brain dynamics, which has also emphasized
407 that locating a transition to bistability via positive feedback requires tuning two parameters that ef-

408 fectively control bias across the two states and the strength of positive feedback [86]. In *in vivo* data
409 from electrical activity in the human neocortex, bistability in oscillatory behavior was observed across
410 multiple frequencies, and was shown to occur in a computational model along a codimension-1 band
411 of parameter space that had dynamics indicative of a transition. Further, there seems to be functional
412 relevance of this transition state, with “moderate bistability ... positively correlated with executive
413 functioning” [86], and too much bistability leading in the extreme case to epileptic seizure.

414 **“Collective decision” design pattern**

415 When dynamics are such that the symmetry between two or more aggregate states is broken by a
416 relevant environmental input, we call this collective decision making. Such a collective decision design
417 pattern arises from an order–disorder transition. From social insect colonies choosing a new nest site
418 to primate brains making a perceptual discrimination, avoiding coming to a hasty conclusion based on
419 little evidence requires restricting the amplification of information or increasing thresholds for action
420 [87–89]. This suggests tuning of the strength of interactions to control the speed of decision dynamics
421 [90]. In some cases, active tuning of parameters is thought to happen across different phases of a
422 decision process: a phase in which the system is susceptible to inputs, followed by a consensus phase
423 in which the chosen decision is amplified and other alternatives are removed from consideration [4].

424 **Social insect decisions:** Social insects are well-known for making collective decisions by amplifying
425 information known to individuals in order to guide the coordinated behavior of an entire colony [91].
426 Tuning across a transition from disorder to order can be functional insofar as it regulates when the
427 group explores versus when it exploits—shifting between a disordered regime that supports broad,
428 parallel sampling of alternatives and an ordered regime that concentrates effort into coordinated com-
429 mitment. For example, trail-based recruitment can implement this exploration–exploitation control
430 by coupling a disordered search phase to an ordered commitment phase. Positive feedback between
431 pheromone deposition and trail following can amplify small initial biases and produce symmetry break-
432 ing in how foragers are allocated among alternatives [92, 93], allowing colonies to transition from dis-
433 tributed sampling of options to stable exploitation of a single route even when overall foraging effort

434 is similar. Quorum-threshold systems are closely related. Once support for a site or option exceeds a
435 critical level, the collective switches into a committed recruitment or action mode, converting graded
436 evidence accumulation into discrete commitment [1,94,95]. Some ants lower the threshold for choosing
437 a new nest site in more urgent situations, actively choosing to prefer speed over accuracy [88].

438 **Neural decisions:** The representation [96] and neural implementations [97, 98] of decisions in the
439 brain have historically been tackled with variants of the drift diffusion model [99,100]. A mostly sep-
440 arate strand of the research literature has focused on decisions represented as attractors. This alterna-
441 tive approach uses feedback to create stable equilibria representing the possible decisions [4,101,102].
442 This approach can naturally incorporate both deliberation and commitment by varying parameters
443 with respect to a collective transition. For a binary decision, crossing a cusp bifurcation along the
444 symmetry-breaking path in parameter space can combine a deliberation phase near the transition with
445 commitment beyond the transition. Functional decision-making may require a change from high sen-
446 sitivity during a stimulus to high coordination during the decision output [4]. Measured spiking data
447 from perceptual decisions in monkeys show dynamics of neural decision information consistent with a
448 dynamically varying distance from a phase transition [4]. Further theoretical work confirmed that the
449 same mechanism can function in cases of quenched disorder (adding noise to coupling constants) [103]
450 and in interaction networks with more complicated structure [90]. Two parameters must generally
451 be tuned to reach the transition because the corresponding cusp bifurcation has codimension 2 [104].
452 These results suggest that, even for strong heterogeneity, functional decision-making requires tuning
453 just two global parameters [103].

454 Recent work has combined the drift-diffusion picture with the attractor picture by assuming an inte-
455 grator that builds a decision variable by integrating the summed activity of interacting neurons [105].
456 Depending on the relative strength of excitatory interactions and global inhibition, the dynamics enters
457 different phases with different decision properties, allowing for tuning between fast rough decisions
458 and slow accurate ones. This has been connected to experimental evidence that inhibition, as measured
459 by levels of inhibitory neurotransmitter (GABA), increases during more difficult decision tasks.⁸

⁸In this model, symmetry between the two decision states is assumed *a priori*, and the transition type is somewhat more complicated: up to three stable fixed points consisting of one inactive state and two active decision states (with mirror symmetry between the decision states). The upshot is that, with the bias fixed at zero, a single parameter (the inhibi-

460 **Gene regulation:** In gene regulatory networks, it is known that positive feedback can create bistability
461 and the degree of bistability can be adjusted by tuning the strength of this feedback. Creating bistability
462 where there was none before has been demonstrated experimentally by increasing the strength of a
463 positive feedback loop in regulatory gene expression dynamics [106]. The emergent states of gene
464 regulation can then look like a collective decision in which an initial “undecided” gene expression state
465 falls into one of two types. These transitions into discrete aggregate states are thought to be how
466 specialized cell types are created [44,107]. The binary decision becomes a base case for thinking about
467 more complicated cases with multiple cell types.

468 In the process of development, we expect multiple dynamic transitions that destabilize pluripotent ag-
469 gregate states and create stable attractors corresponding to differentiated cell types. There are multiple
470 forms that such transitions can take, and progress has been made in enumerating the possibilities by
471 focusing first on collective decisions that start with one stable attractor and end with two (with the
472 assumption that more complicated transitions with larger codimension will be less commonly em-
473 ployed) [44]. Though still describing collective decisions, these can include bifurcations beyond the
474 cusp-like variety that we have highlighted here. Experimental gene expression data has been shown
475 to be consistent with the predictions of models that minimally reproduce particular bifurcations by
476 constructing an effective potential [108].

477 This picture of differentiation extends, too, to super-organisms, with individuals transitioning among
478 different states with specialized gene expression and behavior. Such a transition to bistability in gene
479 expression related to behavior was recently found in honey bees [109]. Young honey bees perform
480 in-hive tasks and later transition to foraging. This behavioral transition is thought to be connected
481 to a feedback loop in gene interactions within bees that can also be influenced by external signals.
482 Gene expression measured across ensembles of age-matched bees reveals the appearance and growth
483 of bistability consistent with movement past a continuous transition (pitchfork bifurcation) [109].

tion) controls the stability of the undecided state, which therefore controls the timescales of integration of evidence, and therefore the speed and accuracy can be tuned by varying the distance from the transition. Though the original work did not explicitly identify the transitions in terms of bifurcations, it appears that changing inhibition at fixed temperature corresponds to a subcritical or supercritical pitchfork bifurcation, depending on the temperature. And we surmise that the supercritical case would unfold into a cusp bifurcation when allowing for nonzero bias. The subcritical case allows three stable states: an “intermittent” state involving switching between an uncommitted state and two “ballistic motion” states corresponding to the two possible decisions.

484 **Ant collective transport:** A study of *Paratrechina longicornis* ants showed that, when the ants carry
485 large objects back to their nest, ants acting to lift the load mostly continue to carry it in the same
486 direction it is already moving, while the collective direction of travel is transiently affected by ants
487 that newly attach to the load [110]. There is an optimal amount of social amplification at which the
488 group direction remains most sensitive to information from new ants picking up the load. For small
489 objects, the path of the object toward the nest is more tortuous but the ants are better able to navigate
490 around barriers, while for large objects, paths become straighter but the object can get stuck if there
491 are obstacles blocking the way. Variation in amplification is connected to the size of the object, which
492 sets the number of ants contributing to lifting the object and thereby controls the effective strength of
493 amplification and where the group sits with respect to the collective transition between diffusive and
494 directed motion [111].

495 **Animals moving toward multiple alternatives:** Imagine an animal viewing multiple equally de-
496 sirable targets. Intuitively, we can predict that the animal will move toward the middle of the group
497 of targets when far away, but will switch to move toward just one of them when the perceived angle
498 between targets becomes large. This behavior can be explained by collective neural activity that forms
499 a ring attractor (which can be created, for example, using neurons that are physically arranged in a
500 ring topology, with locally excitatory and globally inhibitory interactions) [112]. As the animal moves
501 toward the targets, there is a transition between a “compromise” aggregate state, centered at the mean
502 perceived angle of targets, and “decision” aggregate states, each centered on an individual target. With
503 multiple targets at different angles, and in the absence of other symmetries, this model predicts multiple
504 pitchfork transitions that each involve a binary decision: splitting the remaining possible targets into
505 two groups and heading toward the mean of one of the groups [113]. This binary splitting phenomenon
506 has been measured in fruit flies, locusts, and larval zebrafish using immersive virtual reality environ-
507 ments [112]. The relation to tuning of parameters in this case is somewhat more complicated. Note
508 that the decision dynamics rely on a symmetry breaking from among the continuous states defined by
509 the ring attractor. This requires tuning to produce an emergent continuous symmetry, such that there
510 is no bias toward any particular orientation. Also note that, in this example, physical motion in space
511 tunes parameters across collective transitions. Other neural parameters alter where in physical space

512 these transitions occur, effectively tuning the certainty an animal needs to have before committing to
513 a single target instead of hedging its bets with multiple options.

514 **“Multi-stability” design pattern**

515 Dynamics that create the possibility of large numbers of ordered states can create a variety of function-
516 ally important transitions as parameters are tuned. The existence of many metastable states leads to a
517 rich set of possibilities, with potentially many transitions and more complicated transitions, an avenue
518 that we leave open-ended here.

519 **Neuronal metastable states:** It is a natural and popular hypothesis that the functioning of brains is
520 related to their ability to create many different collective states, among which they can switch relatively
521 easily. Dynamics that produce large numbers of metastable states are then clearly a good place to start
522 in thinking about neuronal function. This idea has been explored for a long time in models connected to
523 real data [114], with the simplest conclusion being that models near a critical phase transition produce
524 many metastable states.

525 Recent work has focused on how the interplay between positive local feedback and global negative
526 feedback creates tunable regimes near a critical point: both continuous and discontinuous transitions
527 organized around bistable regimes [86]. After a model neuronal network learns to produce dynamic
528 patterns (by creating attractors, as in a Hopfield network), changing two parameters (the strengths of
529 global inhibition and learned excitatory interactions) moves the system toward or away from a bistable
530 area with hysteresis or a continuous area that shows large fluctuations and persistent changing between
531 learned patterns [115]. There is some evidence that models in this regime are consistent with observed
532 brain dynamics [116].

533 The exact structure of transitions (the points at which individual metastable attractors gain or lose their
534 stability as a function of global parameters) is less of a focus here, instead looking for global param-
535 eters at which, for instance, the typical timescale for staying near an attractor diverges. Presumably,

536 focusing instead on particular attractors (memories) could also reveal parameter directions that pro-
537 duce discontinuous transitions between different memories (akin to the bistable switch design pattern)
538 or continuous transitions that could create individual collective decisions.

539 In terms of function, it is easy to believe that both regimes would be useful. As the authors point out,
540 “hysteresis is necessary, for example, for sensory and memory persistence, while operation close to
541 criticality advantageously optimizes dynamical range, information capacity, and flexibility” [115].

542 **Gene regulation:** We finally note that a related transition into the so-called ‘spin-glass’ phase [117] can
543 produce many metastable states in heterogeneous dynamical networks. This has been hypothesized to
544 be related to how gene regulatory networks can create functional behavioral states and perhaps evolve
545 to learn new ones. There is growing evidence that biological regulatory networks have dynamics tuned
546 near such a transition [118]. This is similar to the story with neuronal networks: this hypothesis does
547 not produce precise functional details about how individual metastable aggregate states are useful, but
548 is suggestive that having multiple aggregate states available may be useful for learning and evolution
549 of new stable functionality [119].

550 **Challenges and open questions**

551 The use of low-dimensional theories of tuning near transitions is promising as a foundation for de-
552 scribing and predicting the dynamics of collective computations throughout living systems. There are,
553 however, many remaining obstacles to be resolved and open questions to be explored.

554 *Are low-dimensional transitions in fact ubiquitous in collective computation in living systems?* There are
555 other ways for systems to have adaptive collective behavior that do not involve sharp transitions. For
556 instance, ant foraging rates can still change with external inputs without the positive feedback that
557 leads to a sharp transition, just not as dramatically [22]. This makes the use of collective transitions
558 in biology a hypothesis that needs testing and not just a reinterpretation of data and models. We may
559 similarly ask whether some computational functions, such as decisions or transistor-like adjustment of

560 sensitivity that we highlight here, are in some sense optimally or most easily implemented using col-
561 lective transitions, as compared to other potential mechanisms.⁹ This question is related to the more
562 specific question of whether scale-free or heavy-tailed distributions can be blamed on a more restric-
563 tive notion of a “critical point”: a continuous phase transition displaying self-similarity. A number
564 of alternative explanations have been found—heavy-tailed distributions that instead can be traced to
565 mechanisms other than critical transitions (e.g. [120]), including joint responsiveness to environmen-
566 tal inputs [121]. Similar objections can be raised to the assumption that a given collective behavior
567 can be traced to transitions in a more general sense. Sudden changes to dynamics may, for instance,
568 arise from independent responses to changing inputs instead of from collectively amplified responses.
569 This highlights the importance of pinning down causal connections among components as opposed to
570 merely correlation, which is often difficult in complex systems. While there is arguably a consensus
571 that collective transitions of one type or another happen often in biological systems, it is still not well-
572 established which specific types of transitions occur most often and how they are related to specific
573 functionality. Experiments that produce not just observational data but also actively perturb dynamics
574 will be necessary to tease out these distinctions.

575 *Is there a precise sense in which collective transitions fall into classes that can be considered universal?*

576 This dream of universality via normal forms in bifurcation theory has been around for quite some
577 time [15, 122]. In this view, identifying collective transitions using bifurcation theory retains some but
578 not all of the properties of universality classes in statistical physics. Normal forms of bifurcations give
579 rise to simplified, low-dimensional model formulations that ignore dynamics along unimportant di-
580 mensions — analogous to how universality classes in statistical physics motivate the use of simplified
581 models that share the same scaling exponents but ignore microscopic fluctuations along irrelevant di-
582 mensions. Collective transitions are in an important sense more general, including more than just con-
583 tinuous transitions with self-similarity (and phenomena like long-range correlations and heavy-tailed
584 fluctuation distributions). An important obstacle in this endeavor is how to incorporate stochasticity

⁹Large enough variation among individuals in response thresholds, or context-dependent modulation of thresholds, can smooth collective input–output relationships and even eliminate macroscopic bifurcations. In division of labor, for example, distributed response thresholds can yield graded, flexible allocation of workers across tasks, allowing colonies to adjust smoothly to changing demands rather than switching discretely between alternative collective states. This perspective is central to response-threshold models of task allocation and their empirical motivation, and highlights how selection can favor heterogeneity in thresholds when a more continuous mapping from demand to workforce is advantageous [39].

585 into bifurcation theory.

586 *What dimensionality reduction techniques are best for defining aggregate-scale order parameters related*
587 *to transitions?* An important requirement for defining collective transitions that is not present in tra-
588 ditional bifurcation theory is defining relevant aggregate-scale variables. In statistical physics, such
589 variables are called order parameters. In some cases, relevant aggregate-scale variables are straight-
590 forward: for instance, a colony-level foraging rate is a simple sum over individual foraging rates. In
591 other cases, such as transitions in cell fate and neuronal dynamics, the relevant aggregate states — cell
592 types, behavioral states — are not always known *a priori*. There are many potential dimensionality re-
593 duction techniques for finding relevant aggregate variables, including through estimation of the Fisher
594 information [37], but the space of methods here is still very much in development.

595 *What is the relationship between the codimension of collective transitions and the phenomenon of param-*
596 *eter sloppiness?* One can think of the tuning of collective behavior in terms of the space of parameters
597 that produces some desired output, or some desired input/output function. Intuitively, we may expect
598 that a low-dimensional constraint on the aggregate output of a high-dimensional system is likely to be
599 well-approximated by tuning only a small number of parameter directions. Recent results in parame-
600 ter degeneracy in functional outputs (“sloppy models”) [123] are also confronting the question of how
601 much tuning is required for functional output. At least under some assumptions, the codimension of
602 the required transition is equal to the number of “stiff” parameter dimensions that are well-constrained
603 by data and are most consequential to aggregate behavior. A few studies have explicitly related slop-
604 piness to transitions: at a continuous phase transition, the number of stiff parameters is equal to the
605 number of relevant parameter directions in the renormalization group transformation [124], and more
606 generally, the codimension of a transition sets a lower bound on the number of stiff parameters [125].

607 *What mechanisms do living systems use in tuning relevant parameters?* On different timescales, we use
608 different names for tuning: evolution at the slowest scales, learning and memory formation at medium
609 scales, and adaptation or regulation at the fastest scales. We know mechanisms at each scale that could
610 produce this tuning—genomic changes, synaptic plasticity, homeostatic dynamics, neurotransmitters,
611 transcription factors, cognitive dynamics, social regulation—but in many cases, we do not know for

612 sure which of these are in play.¹⁰ There may also be other physical or environmental symmetries that
613 make it easier to tune toward a symmetry-breaking instability: for instance, rotational symmetry in
614 bird flocking or ant collective transport.

615 *Is there a more general connection between the difficulty of “finding” collective transitions and their com-*
616 *putational abilities?* There is a long history of viewing algorithmic structure in biological systems as
617 arising from random network ensembles that are put under selection pressure based on their func-
618 tional outputs [127, 128]. In this case, the codimension would set part of the difficulty of making use
619 of a particular design pattern (assuming an ensemble corresponding to a relatively uniform prior over
620 parameters). This relates to the “edge of chaos” hypothesis [129]: In this context, the hypothesis would
621 imply that evolution favors restricting parameters near such an edge because useful transitions are
622 more prevalent there.

623 *How does heterogeneity affect the phenomenology of collective transitions?* Heterogeneity—stable differ-
624 ences among individuals in thresholds, responsiveness, or interaction rates—can qualitatively reshape
625 phase transitions in collective systems. In disordered models from statistical physics, such “quenched
626 disorder” can produce Griffiths phases, extended parameter regions with slow relaxation, broad vari-
627 ability, and power-law-like dynamics, even though the system is not precisely tuned to a critical point
628 [130–133]. Heterogeneity can also round off sharp transitions, narrowing the circumstances under
629 which hysteresis or bistability survives [132]. This arises in heterogeneous ant foraging models, where
630 large heterogeneity blurs transitions but still broadens trial-to-trial variability in final foraging lev-
631 els [22]. Different types of heterogeneity — variability in response thresholds, interaction rates, persis-
632 tence times, sensory noise, spatial fidelity, or energetic constraints — could preserve, shift, or destroy
633 transitions in distinct ways.

634 *How does network structure determine the types of transition and localization?* Clearly, the structure of
635 connectivity within a group plays a crucial role in defining collective dynamics. Research has begun
636 to explore the distinction between “liquid brains,” such as insect colonies, in which interactions are

¹⁰In addition, some parameters may effectively be tuned “for free”. This connects to the concept of “self-organized criticality,” a mechanism for approaching a critical point without tuning, requiring only a conserved quantity in combination with a large separation of timescales [126].

637 transient over the timescale of decisions, and “solid brains,” made of neurons, in which interactions are
638 relatively fixed [134]. Basic arguments in statistical physics tell us that, in the “liquid” case, well-mixed
639 systems have dense connectivity and can be treated as effectively high-dimensional, with a phase di-
640 agram well-approximated by mean-field theory [135, 136]. In the “solid” case, fixed interactions can
641 lead to more complicated dependence on network structure. The effects of network structure have
642 been studied extensively in the literature on phase transitions [137]. Less is known about how net-
643 work structure relates to the precision with which interactions must be regulated to create functional
644 dynamics.

645 *In navigating collective transitions, at what scale are parameters being controlled?* This question lies at
646 the intersection of questions about tuning mechanisms and network structure. Roughly speaking, we
647 may expect that spectral properties of network structure will predict whether tuning near transitions
648 can be optimally controlled by a few key components or requires larger-scale changes [138]. When is
649 control fundamentally distributed; when is it localized; can there be many loci of control [139]?

650 *Are there typical stages to the evolution of adaptive collective behavior?* The “magic” that leads to the
651 effective coordination of living systems, as we have argued, necessitates some degree of careful tun-
652 ing. Without feedback and tuning, collective behavior may not be a good match to the environment,
653 even when the individuals making up the collective are themselves well-adapted. This suggests an
654 evolutionary process over which the advantageous regulation may be learned (and some have argued
655 that, for similar reasons, collective transitions are useful in thinking about the origin of life [140, 141]).
656 Suggestively, in fields such as ecology [142] and climate science [143], collective transitions are seen
657 mainly as a risk to be avoided. Is this because the collectives involved are at a less-well-developed stage
658 of evolution, in which collective behavior has become relatively predictable and consequential but is
659 not yet well-regulated?

660 **The Future**

661 The advantage of viewing collective behavior through such a coarse-grained lens, one that only tracks
662 the stability of aggregate-scale attractors and transitions among these, is the immense dimensionality
663 reduction compared to building models at the level of individual components and interactions. At the
664 same time, we believe this way of characterizing functional strategies—in terms of collective transitions
665 and tuning around these transitions—opens a new perspective for considering the space of possibilities
666 available for living systems to explore in producing functional collective behavior.

667 **Acknowledgments**

668 This work benefited from many discussions with the Collective Logic Lab at Arizona State University.
669 Early versions of the conceptual framing germinated in the C4 group, with key input from Jessica Flack
670 and David Krakauer. This work was presented at and benefited from discussion of participants at the
671 working group “Navigating the space of collective transitions in biology and society,” held in January
672 2026 at the Santa Fe Institute.

673 **Glossary**

- 674 • “coarse-graining”: the act of simplifying the representation of a collective system, typically by
675 ignoring some details at the scale of individual components
- 676 • “component”: an individual constituent part of a group, defining the “micro” scale (e.g., one insect,
677 neuron, or gene)
- 678 • “aggregate state”: a coarse-grained description of the system’s dynamical state, usually incorpo-
679 rating an average over many components, defining the “macro” scale

- 680 • “collective transition”: a qualitative change in aggregate-scale behavior induced by changing one
681 or more control parameters
- 682 • “codimension”: characterizing a bifurcation, equal to the total dimension of parameter space
683 minus the dimensionality of the manifold on which the bifurcation occurs, the codimension rep-
684 represents the number of parameter dimensions that must be tuned in order to generically locate a
685 system on a particular bifurcation manifold
- 686 • “bifurcation”: a change in the number, stability, or nature of stationary points in a dynamical
687 system as a control parameter is varied
- 688 • “criticality”: the regime near a continuous phase transition in which fluctuations become large
689 and long-ranged, correlation times increase, and collective responses to perturbations are typi-
690 cally maximized
- 691 • “phase transition”: a discontinuity in the value or a derivative of an order parameter as an external
692 parameter is varied, defined exactly only in the limit of an infinite number of components
- 693 • “universality”: the idea that different microscopic mechanisms can produce the same collective
694 behavior, so that key macroscopic properties are effectively independent of many microscopic
695 details
- 696 • “collective transition design pattern”: a recurring functional use of collective dynamics, charac-
697 terized at an algorithmic level by (i) a type of collective transition and (ii) how control parameters
698 are positioned or moved relative to the corresponding transition manifold to achieve a goal (e.g.,
699 sensing, switching, memory, decision)
- 700 • “amplification”: the strengthening of a collective response through interactions among compo-
701 nents, such that small individual-level perturbations or biases can produce large changes at the
702 aggregate scale

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